

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum)

ATHBY/ P32968PC

<b>Box No. I</b>	<b>TITLE OF INVENTION</b> NEW COMPOSITION		
<b>Box No. II</b>	<b>APPLICANT</b>	<input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Athera Biotechnologies AB Fogdevreten 2B 171 77 Stockholm Sweden		Telephone No.  Facsimile No.  Teleprinter No.  Applicant's registration No. with the Office	
State (that is, country) of nationality: SE		State (that is, country) of residence: SE	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input checked="" type="checkbox"/> the States indicated in the Supplemental Box			
<b>Box No. III</b>	<b>FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>		
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) DE FAIRE, Ulf Lovbacken 22 SE 187 33 Taby Sweden		This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below) Applicant's registration No. with the Office	
State (that is, country) of nationality: SE		State (that is, country) of residence: SE	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input checked="" type="checkbox"/> the States indicated in the Supplemental Box			
<input checked="" type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.			
<b>Box No. IV</b>	<b>AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>		
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Pilkington, Stephanie Eric Potter Clarkson Park View House 58 The Ropewalk Nottingham NG1 5DD England		Telephone No. (0115) 9552211 Facsimile No. (0115) 9552201 Teleprinter No. 37540 Potter G Agent's registration No. with the Office	
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.			

## Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

If none of the following sub-boxes is used, this sheet should not be included in the request.

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

PROSTEGÅRD, Johan  
Törnrosavägen 9  
SE 131 47  
Nacka  
Sweden

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below)

Applicant's registration No. with the Office

State (that is, country) of nationality:

SE

State (that is, country) of residence:

SE

This person is applicant for the purposes of:

☐

all designated States

☐

all designated States except the United States of America

☐

the United States of America only

☒

the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

PILKINGTON, Stephanie  
Park View House  
58 The Ropewalk  
Nottingham  
NG1 5DD  
United Kingdom

This person is

☒ applicant only

☐ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below)

Applicant's registration No. with the Office

State (that is, country) of nationality:

GB

State (that is, country) of residence:

GB

This person is applicant for the purposes of:

☐

all designated States

☐

all designated States except the United States of America

☐

the United States of America only

☒

the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is

☐ applicant only

☐ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below)

Applicant's registration No. with the Office

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of:

☐

all designated States

☐

all designated States except the United States of America

☐

the United States of America only

☐

the States indicated in the Supplemental Box

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

This person is

☐ applicant only

☐ applicant and inventor

☐ inventor only (If this check-box is marked, do not fill in below)

Applicant's registration No. with the Office

State (that is, country) of nationality:

State (that is, country) of residence:

This person is applicant for the purposes of:

☐

all designated States

☐

all designated States except the United States of America

☐

the United States of America only

☐

the States indicated in the Supplemental Box

☐ Further applicants and/or (further) inventors are indicated on another continuation sheet.

**Supplemental Box** *If the Supplemental Box is not used, this sheet should not be included in the request.*

1. If, in any of the Boxes, except Boxes Nos VIII(i) to (v) for which a special continuation box is provided, the space is insufficient to furnish all the information: in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:

(i) if more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;

(ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;

(iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;

(iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;

(v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "continuation" or "continuation-in-part": in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

(vi) if, in Box No. VI, there are more than five earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI;

2. If, with regard to the precautionary designation statement contained in Box No. V, the applicant wishes to exclude any State(s) from the scope of that statement: in such case, write "Designation(s) excluded from precautionary designation statement" and indicate the name or two-letter code of each State so excluded.

### **Continuation of Boxes No. II and No. III**

*Athera Biotechnologies AB – Applicant for the purposes of all designated states except US and UZ.*

*Ulf DE FAIRE – Applicant for the purposes of all designated states except UZ*

*Johan FROSTEGÅRD – Applicant for the purposes of all designated states except UZ*

*Stephanie PILKINGTON – Applicant for the purposes of UZ only.*

**Box No. V DESIGNATIONS:**

The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.

However,

- ☐ DE Germany is **not designated** for any kind of national protection
- ☐ KR Republic of Korea is **not designated** for any kind of national protection
- ☐ RU Russian Federation is **not designated** for any kind of national protection

*(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the Notes Box No. V as to the consequences of such national law provisions in these and certain other states.)*

**Box No. VI PRIORITY CLAIM:**

The priority of the following earlier application(s) is hereby claimed:

Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country or Member of WTO	regional application: * regional Office	international application: receiving Office
item (1) 15 April 2004	60/521,384	US		
item (2)				
item (3)				

☐ Further priority claims are indicated in the Supplemental Box.

The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) *(only if the earlier application was filed with the Office which for the purposes of this international application is the receiving Office)* identified above as:

☐ all items ☐ item (1) ☐ item (2) ☐ item (3) ☐ other, see Supplemental Box

\* Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)): .....

**Box No. VII INTERNATIONAL SEARCHING AUTHORITY**

Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):

ISA/ .....

Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):

Date (day/month/year)                      Number                      Country (or regional Office)

**Box No. VIII DECLARATIONS**

The following declarations are contained in Boxes Nos. VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):

Number of  
declarations

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Box No. VIII (i)   | Declaration as to the identity of the inventor   | : |
| <input type="checkbox"/> Box No. VIII (ii)  | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent             | : |
| <input type="checkbox"/> Box No. VIII (iii) | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application | : |
| <input type="checkbox"/> Box No. VIII (iv)  | Declaration of inventorship (only for the purposes of the designation of the United States of America)                               | : |
| <input type="checkbox"/> Box No. VIII (v)   | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | : |

**Box No. IX CHECK LIST; LANGUAGE OF FILING**

This international application contains:

(a) in paper form, the following number of sheets:

request (including  
declaration sheets) : 5  
description (excluding  
sequence listings and/or  
tables related thereto) : 30

claims : 2  
abstract : 1  
drawings : 8

Sub-total number of sheets : 46

sequence listings :  
tables related thereto : 0

(for both, actual number of  
sheets if filed in paper form,  
whether or not also filed in  
computer readable form;  
see (c) below)

Total number of sheets : 46

(b) ☐ only in computer readable form  
(Section 801(a)(i))

(i) ☐ sequence listing  
(ii) ☐ tables related thereto

(c) ☐ also in computer readable form  
(Section 801(a)(ii))

(i) ☐ sequence listing  
(ii) ☐ tables related thereto

Type and number of carriers (diskette,  
CD-ROM, CD-R or other) on which are  
contained the

☐ sequence listing:.....  
☐ tables related thereto:.....

(additional copies to be indicated under items  
9(ii), and/or 10(ii), in right column):

This international application is accompanied by the following  
item(s) (mark the applicable check-boxes below and indicate in  
right column the number of each item):Number  
of items

1. ☐ fee calculation sheet : .....
2. ☐ original separate power of attorney : .....
3. ☐ original general power of attorney : .....
4. ☐ copy of general power of attorney; reference number,  
if any: ..... : .....
5. ☐ statement explaining lack of signature : .....
6. ☒ priority document(s) identified in Box No. VI as  
item(s): ..... : 1
7. ☐ translation of international application into  
(language) ..... : .....
8. ☐ separate indications concerning deposited microorganism  
or other biological material : .....
9. ☐ sequence listing in computer readable form  
(indicate type and number of carriers) : .....
- (i) ☐ copy submitted for the purposes of international search under  
Rule 13ter only (and not as part of the international application) : .....
- (ii) ☐ (only where check-box (b)(i) or (c)(i) is marked in left column)  
additional copies including, where applicable, the copy for the  
purposes of international search under Rule 13ter : .....
- (iii) ☐ together with relevant statement as to the identity of the copy  
or copies with the sequence listing mentioned in left column : .....
10. ☐ tables in computer readable form related to sequence listings  
(indicate type and number of carriers) : .....
- (i) ☐ copy submitted for the purposes of international search under  
Section 802(b-quarter) only (and not as part of the international  
application) : .....
- (ii) ☐ (only where check-box (b)(ii) or (c)(ii) is marked in left column)  
additional copies including, where applicable, the copy for the  
purposes of international search under Section 802(b-quarter) : .....
- (iii) ☐ together with the relevant statement as to the identity of the copy  
or copies with the tables mentioned in left column : .....
11. ☐ other (Specify) ..... : .....

Figure of the drawings which  
should accompany the abstract: 3Language of filing of the  
international application: English**Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request)

Stephanie Pilkington

For Receiving Office use only

1. Date of actual receipt of the purported international application:	2. Drawings <input type="checkbox"/> received <input type="checkbox"/> not received
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority ISA/ (if two or more are competent):	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

For International Bureau use only

Date of receipt of the record copy  
by the international Bureau: